

Goethe-Universität | Frankfurt am Main

Satzungen und Ordnungen

#### **Doctoral Regulations of the Faculty of Law of the Johann Wolfgang Goethe University** Frankfurt am Main

Approved by resolution of the Presidium of the Johann Wolfgang Goethe University Frankfurt am Main on 14 July 2015

Here: Amendment

The Faculty Council of the Faculty of Law of the Johann Wolfgang Goethe University Frankfurt am Main adopted the following Doctoral Regulations on 29 April 2015 pursuant to Article 44 (1) No 1, of the version of the Hessian Higher Education Act (Hessisches Hochschulgesetz) of 14 December 2009 (Gazette on Laws and Regulations I, p. 666 et seq.):

#### Table of contents:

Article 1	Purpose of the doctorate and doctoral degree
Article 2	Doctoral works
Article 3	Doctoral Committee
Article 4	Admission as a doctoral candidate
Article 5	Admission procedure
Article 6	Effect of acceptance
Article 7	Lapse of doctoral candidate status
Article 8	Termination of the doctoral candidate relationship
Article 9	Supervisory relationship
Article 10	Admission to the examination
Article 11	Request for admission
Article 12	Appointment of the assessors
Article 13	Examination Committee
Article 14	Examination of doctoral theses
Article 15	Defence
Article 16	Evaluation of the doctoral works
Article 17	Publication
Article 18	Awarding of the doctoral title
Article 19	Refusal to award and withdrawal of the doctoral title
Article 20	Doctoral fee
Article 21	Procedure for honorary doctorates
Article 22	List and register
Article 23	Cooperative and binational doctoral studies
Article 24	Inspection
Article 25	Appeal against decisions in the doctoral procedure
Article 26	Entry into force and transitional provision

### Article 1 Purpose of the doctorate and doctoral degrees

- (1) The Faculty of Law of the Johann Wolfgang Goethe University Frankfurt am Main shall confer the title of Doctor of Laws (Dr. iur.) on the basis of a special academic qualification.
- (2) The Faculty may confer the title of honorary Doctor of Laws (Dr. iur. h.c.) for special academic achievements which are of significance for legal science.

## Article 2 Doctoral works

- (1) The special academic qualification (Article 1(1)) shall be demonstrated by an independent academic work (doctoral thesis) and an oral examination in the form of a public defence.
- (2) The doctoral thesis must satisfy academic requirements and contribute to the advancement of legal science.
- (3) It must be an independent work by the candidate.
- (4) If a joint research work is submitted as a doctoral thesis by one or more candidates, it must be possible to clearly delimit and evaluate the individual work of the candidate or the candidates. The individual contribution must in itself satisfy the requirements for a doctoral thesis
- (5) The doctoral thesis may be submitted as a series of specialist publications (cumulative doctoral thesis). It must be possible to clearly delimit and evaluate the individual works of the candidate and they must be marked by the candidate. An introduction must be submitted together with the series of specialist publications explaining the connection between the specialist publications. The Doctoral Committee may issue implementing regulations for the cumulative doctoral thesis.
- (6) The doctoral thesis may have already been published in full or in part.
- (7) Use in the doctoral thesis of parts of work submitted to obtain another academic qualification shall only be permitted if it accounts only for a minor part of the new work. The work submitted to obtain the other academic qualification shall be listed in the bibliography.
- (8) As a rule the doctoral thesis must be written in German or English. The Doctoral Committee may permit the candidate to submit a doctoral thesis written in another language where good reasons for this exist, it is possible from an organisational perspective and the assessors are in favour. In this case, a summary in the German language shall be required.
- (9) The principles of the *Deutsche Forschungsgemeinschaft* and the Johann Wolfgang Goethe University Frankfurt am Main for ensuring good academic practice shall be complied with during the doctoral procedure.

#### Article 3 Doctoral Committee

- (1) The body responsible for carrying out the doctoral procedure shall be the Doctoral Committee.
- (2) The Doctoral Committee shall, in particular, decide whether to accept the applicant as a doctoral candidate (Articles 4 and 5), shall make decisions on the opening of the examination procedure (Articles 10 and 11), shall appoint the assessors (Article 12), appoint the members of the Examination Committee (Article 13), take the measures necessary for the assessment (Article 14), ensure the observance of the rules for the doctoral procedure (Article 19(1)) and maintain the doctoral register (Article 22). The Doctoral Committee may delegate its tasks in full or in part to the Chair. Any persons affected and each member of the Doctoral Committee may appeal to the Doctoral Committee against decisions of the Chair.
- (3) The Doctoral Committee shall be composed as follows: the Dean or another member of the office of the Dean as Chair, four representatives from the group of professors, two representatives from the group of research associates, a representative from the group of students, a representative from the group of administrative staff together with their deputies. With the exception of the Chair, the members of the Doctoral Committee shall be elected on the proposal of the respective group by the Faculty Council of the Faculty of Law in accordance with the current election regulations of the Johann Wolfgang Goethe University Frankfurt am Main. The period of office of the student member shall be one year; the period of office of the other members with the exception of the Chair shall be two years.
- (4) Decisions of the Doctoral Committee shall require, in addition to a majority of the committee, also a majority of the professors belonging to the Committee, including the Chair.
- (5) The Doctoral Committee may decide by written procedure if no member objects to this.

(6) Each rejection decision issued by the Doctoral Committee shall be reasoned and include information on rights of appeal.

### Article 4 Admission as a doctoral candidate

- (1) Upon application, the following shall be admitted as a doctoral candidate:
  - 1. any person who has completed law studies at the Johann Wolfgang Goethe University Frankfurt am Main with the first state examination in law or the first examination with a grade of at least "fully satisfactory",
  - 2. any person employed for one year as a research associate in the Faculty of Law of the Johann Wolfgang Goethe University Frankfurt am Main or who conducted independent research for one year at an institute of law affiliated with the University.
- (2) In addition, the following shall be admitted as a doctoral candidate:
  - 1. any person who has completed law studies in another faculty with the first state examination in law or the first examination or who has completed an equivalent training section within the framework of the single-stage legal training with an equivalent examination
  - 2. any person who has completed equivalent law studies at a foreign university that are recognised in the Federal Republic of Germany,
  - 3. any person who has completed university studies in a faculty other than law and who fulfils the requirements in that faculty for admission as a doctoral candidate,

where the results of the corresponding examination are equivalent to the grade specified in point (1) of paragraph 1.

- (3) The Doctoral Committee shall decide whether to recognise foreign qualifications having regard to the agreements on equivalence approved by the *Kultusministerkonferenz* (KMK) and the *Hochschulrektorenkonferenz* (HRK).
- (4) Furthermore, a person shall be admitted as a doctoral candidate where, apart from the examination results required in point (1) of paragraph 1, that person satisfies the requirements for admission and his previous work suggests that he will produce the special scientific qualification specified in Article 24 of the *Hessisches Hochschulgesetz*. This shall be evidenced by two positive opinions from persons entitled to supervise theses in the Faculty (Article 9(2)).
- (5) Candidates whose foreign law examinations are not equivalent to the first state examination in law or the first examination shall be admitted as doctoral candidates if they
  - 1. submit a certificate proving the completion of an advanced course of studies leading to a Master of Laws (LL.M.) degree in a department or faculty of law at a German university and
  - 2. can sufficiently evidence the ability to carry out independent academic work by means of two positive opinions from professors in the Faculty.
- (6) The candidates specified in paragraphs 1 to 5 must demonstrate, in addition, that they have successfully participated in a law seminar taught by a person who is entitled to supervise within the meaning of Article 9(2). The work produced in that seminar must have been given the grade of at least "good". Where justified, the Doctoral Committee may waive with this requirement if a statement in support is provided by a person entitled to supervise doctoral theses in the Faculty (Article 9(2)).

#### Article 5 Admission procedure

- (1) The request for admission as a doctoral candidate shall be addressed to the Dean as Chair of the Doctoral Committee.
- (2) The request shall be accompanied by documents evidencing that the admission requirements set out in Article 4(1) to (6) are satisfied. The following shall also be enclosed with the request:
  - 1. a provisional thesis title and a description of the work programme for the doctoral thesis (including a time schedule) or the title of the completed or published work (Article 2(6)),
  - 2. the agreement(s) to supervise the planned doctoral thesis, together with a statement by the person responsible for the supervision on the thesis title, or a statement that the doctoral thesis will be prepared without supervision,
  - 3. a written declaration concerning compliance with the principles of good academic practice,
  - 4. a written declaration by the candidate stating that no assistance was obtained from a commercial broker for doctoral degrees,
  - 5. a statement indicating whether a doctorate in law was unsuccessfully attempted once, or more than once, in Frankfurt am Main,
  - 6. a statement indicating whether a doctoral title has been revoked,

- 7. a statement indicating whether a doctoral procedure was discontinued owing to attempted deception,
- 8. a statement indicating whether the candidate already possesses a doctoral title which corresponds to the title sought.
- (3) The Doctoral Committee shall decide on the admission of the applicant as a doctoral candidate. The decision shall be made within three months of the application's submission and communicated in writing to the applicant without delay.
- (4) The following persons shall be rejected as a doctoral candidate:
  - 1. any person who does not satisfy the requirements set out in Article 4,
  - 2. any person who does not submit the agreement(s) to supervise the planned doctoral thesis together with a statement by the person responsible for the supervision on the thesis title, or a statement that the doctoral thesis will be prepared without supervision,
  - 3. any person who has infringed the principles of academic practice,
  - 4. any person who has used the assistance of a commercial broker for doctoral degrees,
  - 5. any person who has unsuccessfully attempted a doctorate in law in Frankfurt am Main once, or more than once,
  - 6. any person whose doctoral title has been revoked,
  - 7. any person whose doctoral procedure was discontinued owing to attempted deception,
  - 8. any person who already possesses a doctoral title which corresponds to the title sought.
- (5) The request of an applicant who satisfies the requirements for admission as a doctoral candidate shall be refused if his or her area of work does not fall within the competence of the Faculty.

## Article 6 Effect of acceptance

When an applicant is accepted, he or she shall be given the status of a doctoral candidate. This status requires the Doctoral Committee to ensure that the examination procedure is carried out and requires the Faculty to make its research facilities available to the doctoral candidate as far as possible. The doctoral candidate should be enrolled at the Johann Wolfgang Goethe University Frankfurt am Main.

## Article 7 Lapse of doctoral candidate status

Doctoral candidate status shall lapse after five years if the doctoral candidate does not file an application for extension before the expiry of the deadline. The Doctoral Committee shall remind the doctoral candidate in good time that the deadline is due to expire. If the doctoral candidate status has expired, the topic addressed by the doctoral candidate shall be deleted from the list of doctoral topics (Article 22(1)).

## Article 8 Termination of the doctoral candidate relationship

Upon application by the doctoral candidate, the supervisor or the Chair of the Doctoral Committee, the Doctoral Committee may review the progress of the work in consultation with the person concerned. If there is no prospect of successful completion of the doctoral thesis following the expiry of a reasonable deadline set by the Doctoral Committee for the work to be carried out, the Doctoral Committee should end the doctoral candidate relationship. This shall be communicated in writing to the doctoral candidate. The topic addressed by the doctoral candidate shall be deleted from the list of doctoral subjects (Article 22(1)).

## Article 9 Supervisory relationship

- (1) The doctoral candidate should be supervised where possible when preparing the doctoral thesis. The topic of the doctoral thesis shall be determined in agreement with the envisaged supervisor.
- (2) The following members and associates of the Faculty of Law of the Johann Wolfgang Goethe University Frankfurt am Main may act as supervisors:
  - 1. professors,
  - 2. emeritus or retired professors,
  - 3. junior professors,
  - 4. adjunct professors,

- 5. honorary professors,
- 6. persons with a *Habilitation* degree (*Privatdozentinnen* and *Privatdozenten*),
- 7. postdoctoral researchers who have taken over management functions in structured doctoral programmes (e.g. Emmy Noether Fellows, Schumpeter Fellows or other directors of junior research groups); the Doctoral Committee shall permit members of this group to supervise doctoral studies for a limited period of time after an individual assessment if a second supervisor is specified who satisfies the professorial requirements laid down in Article 62 of the Hessian Higher Education Act (Hessisches Hochschulgesetz).
- (3) As a rule, the person who suggested the doctoral thesis topic or who, in accordance with Article 4(4), proposed the applicant's admission as a doctoral candidate shall be responsible for the supervision. Subject to this person's consent, he or she shall be appointed as supervisor by the Doctoral Committee; in cases governed by Article 4(4), appointment shall be made following agreement between the two persons proposing the applicant. The supervisor may conclude a supervision agreement with the doctoral candidate.
- (4) Upon application by the doctoral candidate, his or her supervisor or the Chair of the Doctoral Committee, the Doctoral Committee may approve a change in the supervisory relationship; all parties involved must be heard.
- (5) The supervisor shall offer the doctoral candidate the possibility to interact with academics, support attendance at specialist conferences, facilitate the establishment of (inter-)national networks and support him or her in the publication or his or her research outcomes. The Doctoral Committee may limit the number of doctoral candidates per supervisor.

### Article 10 Admission to the examination

- (1) A person who has been accepted as a doctoral candidate (Articles 4 and 5) shall be admitted to the examination unless a reason for refusal exists.
- (2) A reason for refusal exists where
  - 1. the request has not been made in the proper manner (Article 11(2)) or the documents submitted are incomplete (Article 11(3)),
  - 2. the candidate has committed a deception in connection with the requirements for acceptance as a doctoral candidate (Articles 4 and 5) or for admission to the examination (Articles 10 and 11).

#### Article 11 Request for admission

- (1) The request for admission to the examination shall be addressed to the Chair of the Doctoral Committee.
- (2) The request must contain the following details:
  - 1. the topic of the doctoral thesis,
  - 2. where applicable, the name of the supervising faculty representative (Article 9),
  - 3. where applicable, the names of the faculty representatives whom the doctoral candidate proposes as assessors (Article 12).
- (3) The following shall be enclosed with the request:
  - 1. a curriculum vitae including details of university and pre-university education,
  - 2. four bound copies of the doctoral thesis together with a title page, considered by the doctoral candidate as ready to print, and a digitally stored version of his or her work on a conventional data carrier,
  - 3. the written declaration: "I have only used the sources and aids that I have stated in preparing the submitted work and have marked the passages taken from other works. I have written my work independently",
  - 4. a written declaration that the candidate agrees to a review of the work using plagiarism detection software,
  - 5. proof of payment of the doctoral fee.
- (4) The doctoral candidate may withdraw his or her request for the opening of the examination procedure until the first examiner's report becomes available.

### Article 12 Appointment of the assessors

The Doctoral Committee shall appoint two assessors from the group who are entitled to supervise (Article 9(2)) to act as assessors, subject to their consent. One of the assessors must be a professor within the meaning of points (1) or (2) of Article 9(2) who belongs to the Faculty of Law of the Johann Wolfgang Goethe University Frankfurt am Main. The other assessor may come from another faculty, another university or a non-university research institution. To the extent that the examination of the work requires this, further persons meeting the requirements of Article 9(2) may be appointed as assessors. If the work has been supervised, the supervisor should be appointed as one of the assessors.

## Article 13 Examination Committee

- (1) The Examination Committee shall discuss and make decisions on the doctoral works in private session. Resolutions shall be adopted by the majority of the votes present. Secret ballots and abstentions in the assessment of doctoral works shall not be permitted. If on important grounds a member of the Examination Committee cannot take part in the assessment, the Doctoral Committee shall appoint another examiner.
- (2) Each Examination Committee shall consist of the assessors and one of the persons entitled in accordance with Article 9(2) to supervise, as Chair. The Chair shall be appointed by the Doctoral Committee. To the extent necessary, between one and three professors in particular any author of a special opinion within the meaning of Article 14(2) may be specified as further members of the Examination Committee. The members of the Examination Committee who belong to the Faculty must have the majority in the committee. Where necessary, this majority shall be ensured by appointing further representatives of the Faculty.

## Article 14 Assessment of the doctoral theses

- (1) The assessors should submit, where possible within three months, independent written examiner's reports including a grading in accordance with the grades specified in Article 16.
- (2) The candidate shall be given a copy of the examiners' reports. Any person who is entitled in accordance with Article 9(2) to examine doctoral studies submitted to the Faculty may inspect the examiners' reports. For this purpose, the doctoral theses and the examiners' reports shall be made available for inspection in the Faculty. This shall be communicated to the persons entitled to examine by a circular, which includes an electronic communication, and by a notice outside the Dean's office. The inspection period should be 14 days. Each person entitled to inspect may submit a special opinion to the Examination Committee.
- (3) Each assessor may make his or her assessment of the work conditional on the candidate improving or adding to the work to take account of criticisms. If the candidate refuses do this or does not satisfy the request within the deadline set by the Chair of the Doctoral Committee, the assessor must give his or her assessment. In addition, by mutual agreement between the assessor and the candidate, the work may be returned once for revision.
- (4) The Examination Committee shall reject the dissertation as a doctoral work if all assessors grade it "non rite" (Article 16(2)). If two assessors have been appointed, of whom one recommends acceptance and the other rejection, the Doctoral Committee shall appoint a further assessor; in this connection, the fifth sentence of Article 13(2) shall be complied with. The doctoral thesis shall be accepted if the majority of the appointed assessors recommend acceptance. It shall be rejected if the majority of the appointed assessors recommend rejection. Where special opinions have been submitted that contradict the majority recommendation of the appointed assessors, the Doctoral Committee may appoint further assessors.
- (5) If the Examination Committee rejects the work as a doctoral work, the doctoral procedure shall be deemed to have ended. The rejection shall be communicated to the candidate in writing by the chair of the Doctoral Committee in accordance with Article 3(6). In particular the copies of the doctoral thesis submitted and the examiners' reports shall remain in the files of the Doctoral Committee.
- (6) Once the work has been accepted, the Chair of the Doctoral Committee shall determine the date and location for the public defence (Article 15). It should take place no earlier than one week after the sending of the examiners' reports (paragraph 2). The time and location for the public defence shall be announced by a notice outside the Dean's office and communicated to all parties involved and to those who submitted a special opinion.

#### Article 15 Public defence

(1) The candidate shall defend his or her work before the Examination Committee in a public defence. The public defence may be held in English or in another language with the unanimous written consent of the Examination Committee. The Chair of the Examination Committee shall chair the public defence.

In addition to the members of the Examination Committee, any person who submitted a special opinion (Article 13(2)) may participate.

- (2) In the case of joint research works (Article 2(4)) the public defence must involve all candidates. Within the framework of the public defence, the Examination Committee may hear parties who were involved in the research work but who are not doctoral candidates.
- (3) At the beginning of the public defence the candidate should give a brief presentation of his or her work. In this connection, he or she may comment on the examiners' reports and special opinions. The public defence shall cover in addition selected issues in the subject and adjacent fields in other subjects and the state of research in these areas.
- (4) The introductory presentation by the candidate should last for a maximum of fifteen minutes, and the public defence in total around one hour; in the case of joint research work correspondingly longer. For the remainder, the Chair shall decide on how the public defence is held.
- (5) A record of the public defence shall be made. It must include the names of the Examination Committee members, the duration of the examination, an overview of the matters covered in the public defence (Article 15(3)) and the grades.
- (6) Each member of the Examination Committee shall assess the public defence in a meeting held in private in accordance with the grades specified in Article 16.
- (7) A public defence which was not passed may, on application by the candidate, be repeated once.

### Article 16 Evaluation of the doctoral work

- (1) Following the public defence, the Examination Committee shall establish an overall grade for the doctoral work. It shall be established by combining 2/3 of the arithmetic mean of the grades given by the assessors to the written work and 1/3 of the arithmetic mean of the grades given by the members of the Examination Committee to the candidate's performance in the public defence. In the event that a special opinion gives the written work a grade that is substantially at variance from the grades given by the two assessors, the Examination Committee may give this appropriate consideration when determining the grade for the doctoral thesis.
- (2) The grades are:

summa cum laude = with distinction (0)
magna cum laude = very good (1)
cum laude = good (2)
rite = sufficient (3)
non rite = insufficient (4)

Figures shall only be used as basis for calculation and do not appear on the certificate. No more than two places decimal places shall be used in the calculation. If the calculation of the overall grade produces a fractional amount, the next higher grade shall be awarded, up to and including a grade of 0.5. The grade "summa cum laude" shall only be awarded if the calculation of the overall grade produces a figure of 0.35 or better.

(3) The candidate may only be awarded a doctoral degree if both the written work and the public defence are each assessed in a separate calculation in accordance with paragraph 2 to have at least the grade "rite". If a second public defence is not assessed with a grade of at least "rite", the Examination Committee shall determine that the examination has not been passed and the doctoral procedure has ended unsuccessfully.

### Article 17 Publication

- (1) The doctoral thesis shall be published as a book, as a journal article, as an article in a collected volume, as a reproduced manuscript or in electronic form. Where applicable, the publication may be produced jointly together with other academics involved in the research work.
- (2) If the version that is envisaged for publication contains additional material, this shall be highlighted accordingly. With the approval of the assessors, the marking may be dispensed with. Other substantive changes shall require the approval of the assessors.
- (3) Within one year of the public defence, the candidate shall supply mandatory copies of his or her work to the Faculty free of charge in the following form:
  - a) 40 copies of his or her work on acid-free, age-resistant paper (in accordance with DIN ISO 9706) in A4 or A5 format or
  - b) 4 copies if the publication appears as a book, in a journal or in a collected volume produced by an academic publisher.
  - c) The doctoral thesis can alternatively also be published in electronic form, as an online document, on a server of the university library. In addition to the electronic version, 4 copies must be submitted on acid-free, age-resistant

paper (in accordance with DIN ISO 9706) in A4 or A5 format in a permanent library volume. Further, for the purposes of publication, an electronic summary of the doctoral thesis (abstract) approved by the first assessor, comprising no more than one page, must be included in the volume. In addition, the doctoral candidate shall submit a written declaration stating that the digitalised version corresponds with the original version. He or she shall assign to the Faculty the non-exclusive right to produce, distribute, store, and make available in data networks for third parties to access, further copies of his or her doctoral thesis within the framework of the statutory tasks of university libraries.

(4) The deadline for delivery may be extended on request.

## Article 18 Awarding of the doctoral title

- (1) The doctoral title shall be awarded by the handing over of the doctoral certificate by the Dean. The doctoral title may only be used after the certificate has been handed over.
- (2) The doctoral certificate shall be issued bearing the date of the public defence and the seal and the signature of the Dean. It shall include the overall grade. At the candidate's request, the certificate may be delivered without mention of the overall grade.
- (3) The handing over of the certificate shall be conditional on the delivery of the mandatory copies specified in Article 17(3) or proof that publication has been secured (Article 17(3)(b)).
- (4) If the doctoral title is awarded pursuant to the submission of a publishing contract (Article 17(3)(b)), the award may be revoked if the mandatory copies specified in Article 17(3) are not delivered within one year of the public defence. Due account shall be given to any extension to the deadline that is granted (Article 17(4)).

### Article 19 Refusal to award and withdrawal of the doctoral title

- (1) The Doctoral Committee may discontinue the procedure at any stage, or refuse to complete the doctoral procedure if, before the doctoral title is awarded, it emerges
  - a) that the doctoral candidate has perpetrated a significant deception in the procedure or
  - b) that he or she did not satisfy essential procedural requirements.
- (2) A title awarded on the basis of these doctoral regulations should be revoked if
  - a) the title was acquired by the doctoral candidate through deception, or
  - b) following its award, old or new facts become known which would have precluded its award.

The withdrawal shall be effected in line with statutory provisions. Before the Doctoral Committee adopts a decision on the refusal or withdrawal of the doctoral title, the person concerned shall be given the opportunity to respond to the accusations.

#### Article 20 Doctoral fee

- (1) The doctoral fee shall be EUR 150.00.
- (2) The doctoral fee shall be paid to the account of the Johann Wolfgang Goethe University Frankfurt am Main before registration for the examination (Article 10).
- (3) An application for reduction or remission of the doctoral fee may be submitted to the Doctoral Committee by the doctoral candidate.
- (4) If the doctoral procedure is unsuccessful, the doctoral fee shall not be repaid. This shall not apply where the doctoral candidate is not responsible for the termination.

# Article 21 Procedure for honorary doctorates

- (1) A request for the initiation of a procedure to confer the title of honorary Doctor of Laws (Article 1(2)) shall be submitted by at least two members of the Faculty with doctoral titles. It shall be addressed to the Faculty Council and include a statement of reasons.
- (2) The procedure shall only be opened if 2/3 of the members of the Faculty who are entitled to vote approve the opening.

- (3) After the opening of the procedure, the Faculty Council shall appoint two external assessors to respond to the application and who should submit a draft decision.
- (4) The resolution to confer the title of honorary Doctor of Laws shall be taken in a private session. Only members of the group of professors and those members of other groups who have produced the academic works necessary for the award of a doctorate may vote on the conferral of the title of honorary doctor.
- (5) The Dean shall confer the title of honorary doctor by handing over the doctoral certificate in which the academic works and achievements of the honorary doctor are listed.

#### Article 22 List and register

- (1) The Doctoral Committee shall maintain a list of the topics it has accepted.
- (2) In addition, it shall maintain a register of admission as a doctoral candidate and completion of the procedure. Where a justified interest exists, the register may be examined. The following information shall be recorded in the register: topic or title of the doctoral thesis, name and address of the doctoral candidate, date of admission as a doctoral candidate, name of the supervisor, names of the assessors, dates on which the examination procedure was opened and closed and a record of whether the examination was passed, and, if so, with what grade, or whether it was not passed.

## Article 23 Cooperative and binational doctoral studies

These regulations shall be applied to doctoral studies under joint supervision by the Faculty and a non-university research institution (cooperative doctoral studies) and under the joint supervision by the Faculty and a foreign department or faculty of law (binational doctoral studies) unless the agreement on the implementation of the doctoral procedure provides otherwise.

#### Article 24 Inspection

The candidate shall be entitled after the completion of the doctoral procedure and in justified cases during the ongoing doctoral procedure to inspect the doctoral documents.

## Article 25 Appeal against decisions in the doctoral procedure

The candidate may lodge a written appeal with the Chair of the Doctoral Committee against decisions of the Doctoral Committee and the Examination Committee within one month of publication. If this person does not remedy the appeal, the President of the Johann Wolfgang Goethe University Frankfurt am Main shall determine the appeal.

# Article 26 Entry into force and transitional provision

- (1) These doctoral regulations shall enter into force on the day after their publication in the "UniReport" of the Johann Wolfgang Goethe University Frankfurt am Main. At the same time, the doctoral regulations of the Faculty of Law of the Johann Wolfgang Goethe University of 1 October 1983 (Official Gazette of the Ministry of Culture and Education in Hesse 1983, p. 452), amended on 15 July 1998 (Official Gazette of the State of Hesse 51/1998, p. 4061) shall be revoked.
- (2) Doctoral candidates who were admitted before these doctoral regulations came into force may apply within one year of these regulations coming into force to have their doctoral procedure carried out in accordance with the previous regulations.

Frankfurt am Main, 23 July 2015

#### Masthead

UniReport statutes and regulations appear irregularly and owing to occasions as a special edition of the UniReport. The circulation is fixed separately for each edition.

Publisher The President of the Johann Wolfgang Goethe University Frankfurt am Main

Prof. Dr. Georg Hermes Dean of the Faculty of Law of the Johann Wolfgang Goethe University Frankfurt am Main